



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

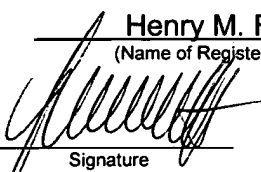
Docket No.: SCHMITT-16

In re Application of:)
)
THEODORE NICOLAS SCHMITT,)
KLAUS DIETER MAUTHNER) Examiner: Edwards, Newton O.
& ERNST HAMMEL)
) Group Art Unit: 1774
Appl. No.: 10/706,864)
)
Filed: November 12, 2003)
)
For: STRUCTURE FOR HEAT DISSIPATION)

SECOND INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450", on March 8, 2006 .	
(Date)	
<u>Henry M. Feiereisen</u>	
(Name of Registered Representative)	
	<u>3-8-2006</u>
Signature	Date of Signature

In accordance with 37 C.F.R. 1.56, applicant wishes to call the attention of the Examiner to the following references A) to D) which were cited in the European Search Report issued by the European Patent Office with regard to the corresponding European patent application No. EP 03 45 0222. Applicant does not admit that any of the cited documents constitutes prior art against the pending application.

03/15/2006 WASFAW1 00000032 10706864

01 FC:1806

180.00 DP

	Country:	Patent or Appl. No:	Patentee or Applicant:	Issue or Filing Date:
A)	USA	US 5 981 085 A	Ninomiya et al.	11-09-1999
B)	Europe	EP 1 199 328 A	Polymatech	04-24-2002
C)	PCT	WO 02 081592 A	Furutani	10-17-2002
D)	PCT	WO 01 41213 A	Okada M. et al.	06-07-2001

Copies of references B) to D) are submitted herewith along with form PTO-1449. The Examiner is requested to initial the attached form PTO-1449 and to return a copy of the initialed document to the undersigned as an indication that the attached references have been considered and made of record.

- ☐ This Information Disclosure Statement is filed within three months of the filing date of a national application other than a continued prosecution application under 1.53(d), so that no fee under 37 C.F.R. §1.97 is due.
- ☐ This Information Disclosure Statement is filed within three months of the date of entry of the national stage as set forth in 1.491 in an international application, so that no fee under 37 C.F.R. §1.97 is due.
- ☐ This Information Disclosure Statement is filed before the mailing of a first Office Action on the merits, so that no fee under 37 C.F.R. §1.97 is due.
- ☐ This Information Disclosure Statement is filed before the mailing of a first Office Action after the filing of a request for continued examination under §1.114, so that no fee under 37 C.F.R. §1.97 is due.
- ☒ This Information Disclosure Statement is filed after the issuance of a first office but before issuance of a final action under §1.113, or a notice of allowance under §1.311.
- ☐ This Information Disclosure Statement is submitted after the mailing of a final action or a notice of allowance, but before payment of the issue fee.
- ☐ The undersigned submits the following statement requesting consideration of this statement:

The undersigned hereby states:

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;
- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☒ The fee of \$180.00 set forth in 1.17(p).
- ☐ The Commissioner is hereby authorized to charge the fee as set forth in 1.17(p), and any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.

In order to satisfy the requirement under 37 C.F.R. §1.98(a)(3) for a concise explanation of the relevance of each item of information, applicant herewith submits a copy of the European Search Report together with a translation of the relevant pages thereof. In addition, applicant notes with respect to any information that is not in English language as follows:

US Patent No. 6,406,790 is of record.

European Patent Application EP 1 265 281 is not prior art, since this reference was not published more than one year prior to the filing date of above-referenced patent application.

International Publication No. WO 02 081592 corresponds to European Patent Application EP 1 375 623 of which a copy is enclosed. This reference is also not available as prior art.

The above-identified application discloses and claims an invention patentable over this prior art.

Entry of the references above set forth into the file of the above application is believed to be in order and is respectfully requested.

Respectfully submitted

By: 

Henry M. Feiereisen
Agent for Applicant
Reg. No. 31,084

Date: March 8, 2006
350 Fifth Avenue
Suite 4714
New York, N.Y. 10118
(212) 244-5500
HMF:af



Form PTO-1449

U.S. Department of Commerce
Patent and Trademark Office**INFORMATION DISCLOSURE CITATION**

Attorney's Docket No. SCHMITT-16	Applicant THEODORE SCHMITT et al.	Appl. No. 10/706,864
Filing Date November 12, 2003	Group 1774	Examiner Edwards, Newton O

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date, if appropriate
	5,981,085	11-09-1999	Ninomiya et al.			

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation
	EP 1 199 328 A	04-24-2002	Europe			yes
	WO 02 081592 A	10-17-2002	PCT			abstract
	WO 01 41213 A	06-07-2001	PCT			yes

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:**Date considered:**

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.